

Sustainable Earthworks – Mass Haul Analysis

John O'Connor
Arup

TII Standards Training 2022
19th May 2022

Sustainable Earthworks

Background

Ongoing research to identify opportunities at Phase 2 and 3 for greater consideration of earthworks factors which influence sustainability.

Purpose

A well-considered Mass Haul analysis during the early-stage planning and design can help mitigate ground risks, reduce potential waste, and reduce the need for reactive and less sustainable engineering solutions at subsequent project phases.

Objective & Deliverable

Identify the main principles that influence Mass Haul and develop a tool which would facilitate Mass-Haul analysis at Phase 2 and Phase 3



What is Mass Haul in this context?



Basic Definition:

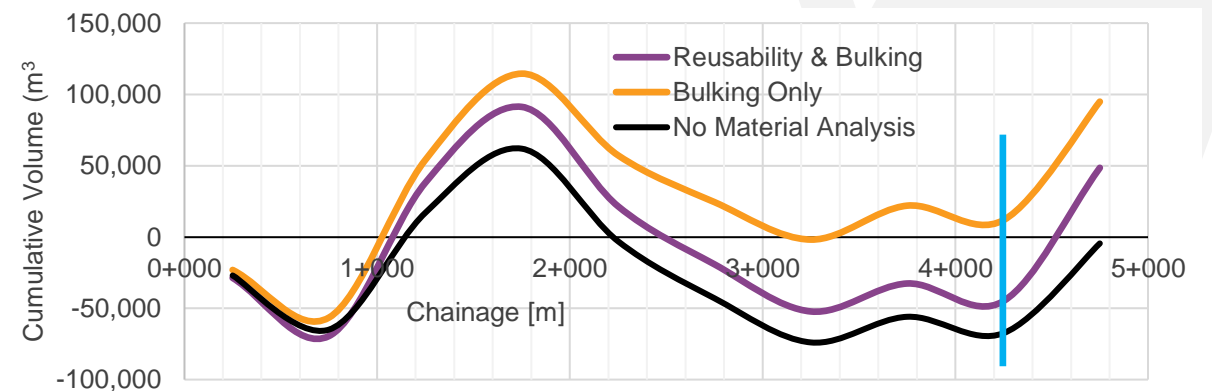
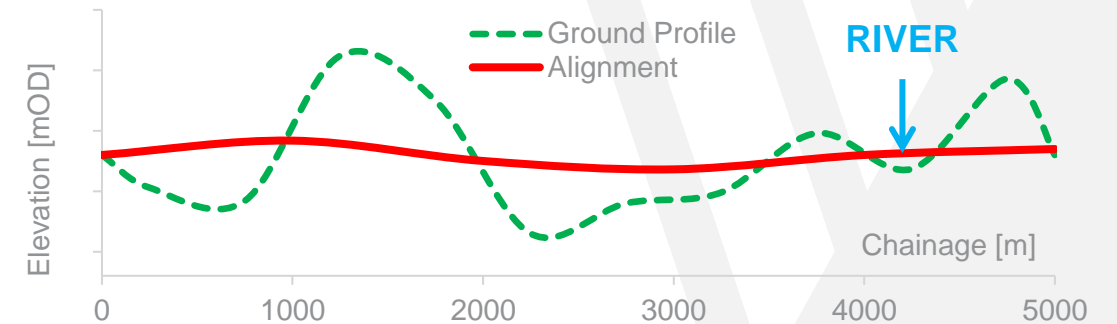
Volume of Material \times Transport Distance

Accurate mass haul is also influenced by the following:

- material classification
- material acceptability
- material value
- source and destination of material
- material handling and construction practices
- haulage constraints
- haulage / extraction equipment
- programme

What is a Mass Haul Diagram?

A **Mass Haul Diagram** is a graphical representation of the material moved and facilitate investigation of material allocation and optimised haulage



What are the benefits of Mass Haul as part of Phase 2 & Phase 3?

Phase 2 Option Selection Process

- More **considered comparison** of options in terms of earthworks
- Optimised earthworks design when options at their **most flexible**
- Facilitate **identification of deposition and/or borrow areas** much earlier in the process
- Increased likelihood of achieving a **more balanced** (earthworks) preferred option

Phase 3 Planning Design

- **Reduced risk of unforeseen ground conditions** which result in expensive, time-consuming and disruptive engineering solutions
- Allocation and re-use of material at its **highest value**
- **Reduces reactive design** to deal with unbalanced preferred option

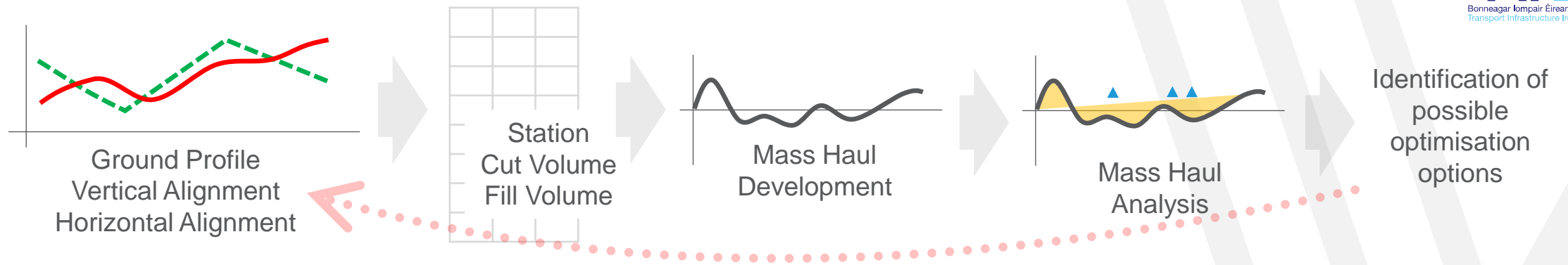
Phase 4 Statutory Process

- **Quantitative and qualitative assessment** of factors which influence sustainability (from concept stage)
- Shows **stronger link** between option selection process, sustainability and land required
- **Evidence to support land acquisition**, particularly in terms of borrow areas and material deposition areas

Phase 5 & Phase 6

- **Greater cost certainty** in terms of earthworks quantities and movement
- Optimised earthworks considerations will likely result in **less reliance on natural / scarce resources**
- **Localised balances** which reduce works and cost associated with long or unsustainable haulage
- **Reduction in claim costs and programme overrun** due to improved consideration of material movements and allocation e.g. sourcing acceptable material, disposal of unacceptable material

How can Mass Haul be incorporated?



Original Objective

Create a mass haul diagram spreadsheet

Evolved Objective

Create a tool which *directs and highlights* opportunities for a more sustainable design through optimisation with respects to earthworks

Project Phase	Scope (based on)	Geometry & Volumes	Earthworks Analysis	Project Characteristics	Visualisation	Conclusions & Opportunities
Phase 2	Geological Description (e.g. Overburden, Rock)	<ul style="list-style-type: none"> Geometry: <ul style="list-style-type: none"> Chainage/Stations Alignment Levels Ground Levels 	<ul style="list-style-type: none"> Volumes: <ul style="list-style-type: none"> Cut (Bulked) Fill (Uncompacted) 	<ul style="list-style-type: none"> Constraints Material Deposition Areas Borrow Areas 	<ul style="list-style-type: none"> Overall Mass Haul Diagram Mass Haul Diagram per Material Type (as per Phase & Scope) 	<ul style="list-style-type: none"> Earthworks Balance Haulage Gradient Haulage Distance (freehaul vs overhaul) Haulage
Phase 3	TII Material Classification (e.g. Class 1, Class 2, Class 3)	<ul style="list-style-type: none"> Volumes: <ul style="list-style-type: none"> Cut 	<ul style="list-style-type: none"> Reusability Analysis 			

Tab	User Input	Analysis & Output
Longsection	<ul style="list-style-type: none"> Chainage/Stations Alignment Levels Ground Levels Total Cut & Fill /Station 	<ul style="list-style-type: none"> Earthworks Areas Gradient
Unbulked Cut Volumes	<ul style="list-style-type: none"> Cut volumes % per earthworks area & material type 	<ul style="list-style-type: none"> Unbulked cut volumes per earthworks area and material
Compacted Fill Volumes	<ul style="list-style-type: none"> Fill volumes % per earthworks area & fill type 	<ul style="list-style-type: none"> Compacted (<i>in situ</i>) fill volumes per earthworks area and material

Input										Analysis		
Chainage		Ground Level		Road Alignment		Earthwork Volume		Average Elevation		Average Gradient		Total Volume
From	To	Levels		Levels		Cut	Fill	Ground	Alignment	Ground	Alignment	
-	-	mOD		mOD		m ³	m ³	mOD	mOD	%	%	m ³
-190	-150	36.933	37.537	36.933	37.638	44	2	37.24	37.44	1.5%	1.6%	42
-150	-100	37.537	38.244	37.638	38.551	223	11	37.89	38.22	1.4%	1.6%	212
-100	-50	38.244	38.837	38.551	38.865	183	9	38.54	38.70	1.2%	0.9%	174

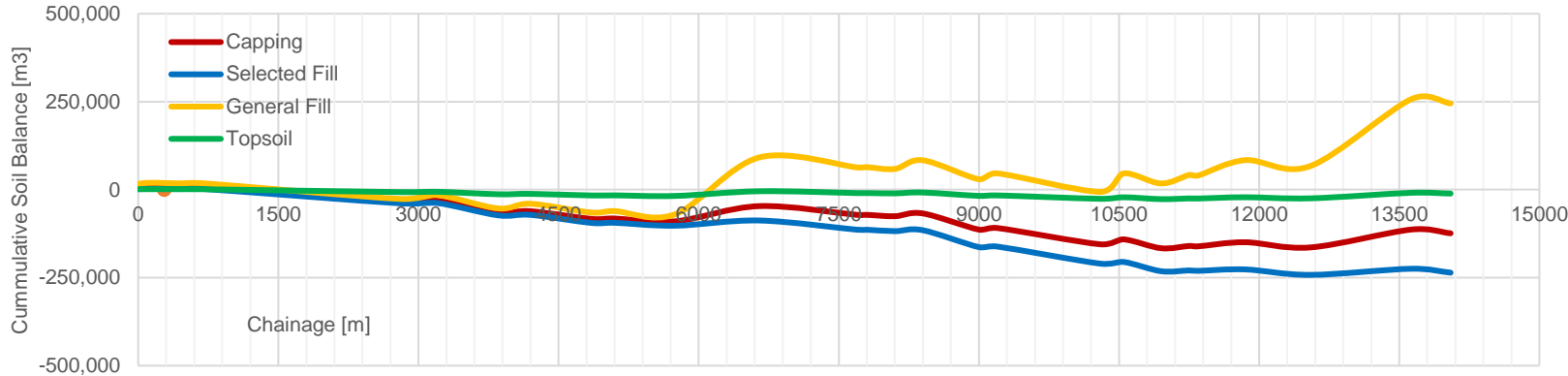
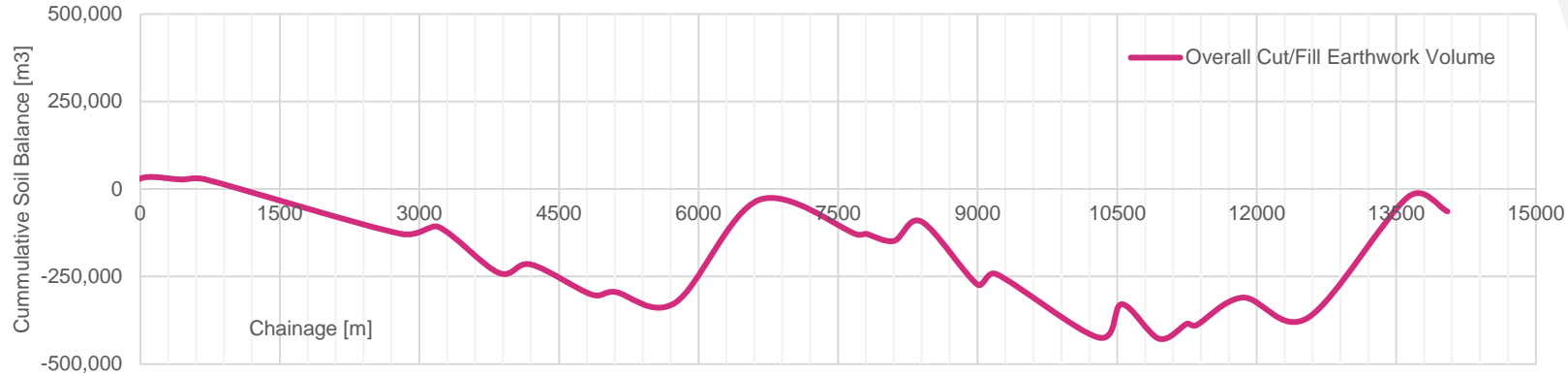
	Topsoil	Assumed	Where	Overburden
Made Ground	Assumed		assumed,	Overburden
Peat	Submitted		based on	Total
Overburden	Submitted		percentage	Total
Rock	Submitted		of	Total

Input										Assumed Cut Volumes				
Chainage			Total	Submitted Cut Volumes					Topsoil		Made Ground		Peat	
From	To	Status		Topsoil	Made Ground	Peat	Overburden	Rock	%	m ³	%	m ³	%	m ³
-	-	-	m ³	m ³	m ³	m ³	m ³	m ³	%	m ³	%	m ³	%	m ³
-190	50	CUT				0	25620	1245	5%	1281	10%	2562	0%	

	Topsoil	Assumed	Where	Total
General Fill	Assumed		assumed,	Total
Select Fill	Assumed		based on	Total
Capping (6F)	Assumed		percentage	Total
Subbase	Assumed		of	Total

Input										Assumed Compacted Fill Volumes				
Chainage			Total	Submitted Compacted Fill Volumes					Topsoil		General Fill		Select Fill	
From	To	Status		Topsoil	General Fill	Select Fill	Capping	Subbase	%	m ³	%	m ³	%	m ³
-	-	-	m ³	m ³	m ³	m ³	m ³	m ³	%	m ³	%	m ³	%	m ³
-190	50	CUT	26						5%	1	30%	8	25%	6

Project Phase	Scope (based on)	Geometry & Volumes	Earthworks Analysis	Project Characteristics	Visualisation	Conclusions & Opportunities
Phase 2	Geological Description (e.g. Overburden, Rock)	<ul style="list-style-type: none"> Geometry: <ul style="list-style-type: none"> Chainage/Stations Alignment Levels Ground Levels 	<ul style="list-style-type: none"> Volumes: <ul style="list-style-type: none"> Cut (Bulked) Fill (Uncompacted) 	<ul style="list-style-type: none"> Constraints Material Deposition Areas 	<ul style="list-style-type: none"> Overall Mass Haul Diagram Mass Haul Diagram per Material Type (as per Phase & Scope) 	<ul style="list-style-type: none"> Earthworks Balance Haulage Gradient Haulage Distance (freehaul vs overhaul)
Phase 3	TII Material Classification (e.g. Class 1, Class 2, Class 3)	<ul style="list-style-type: none"> Volumes: <ul style="list-style-type: none"> Cut 	<ul style="list-style-type: none"> Reusability Analysis 	<ul style="list-style-type: none"> Borrow Areas 		<ul style="list-style-type: none"> Haulage



Mass Haul Diagrams –

- Overall
- Detailed per material type or classification
- Automatically updated based on inclusion of material deposition areas and/or borrow areas

Project Phase	Scope (based on)	Geometry & Volumes	Earthworks Analysis	Project Characteristics	Visualisation	Conclusions & Opportunities
Phase 2	Geological Description (e.g. Overburden, Rock)	<ul style="list-style-type: none"> • Geometry: <ul style="list-style-type: none"> ○ Chainage/Stations ○ Alignment Levels ○ Ground Levels 	<ul style="list-style-type: none"> • Volumes: <ul style="list-style-type: none"> ○ Cut (Bulked) ○ Fill (Uncompacted) 	<ul style="list-style-type: none"> • Constraints • Material Deposition Areas 	<ul style="list-style-type: none"> • Overall Mass Haul Diagram • Mass Haul Diagram per Material Type (as per Phase & Scope) 	<ul style="list-style-type: none"> • Earthworks Balance • Haulage Gradient • Haulage Distance (freehaul vs overhaul)
Phase 3	TII Material Classification (e.g. Class 1, Class 2, Class 3)	<ul style="list-style-type: none"> • Volumes: <ul style="list-style-type: none"> ○ Cut 	<ul style="list-style-type: none"> • Reusability Analysis 	<ul style="list-style-type: none"> • Borrow Areas 		<ul style="list-style-type: none"> • Haulage

Haulage Analysis Summary –

- Earthworks balance as total and according to material designation
- Haulage summary per material designation:
 - Haulage volume in terms of freehaul and overhaul
 - Haulage distance in terms of freehaul and overhaul
 - Volume and total distance for uphill movements
- Haulage summary per earthworks area per material type, highlighting following impacts:
 - Gradient (uphill)
 - Constraints
 - Distance (over freehaul)
 - Two iterations of movements, both in forward and backward direction

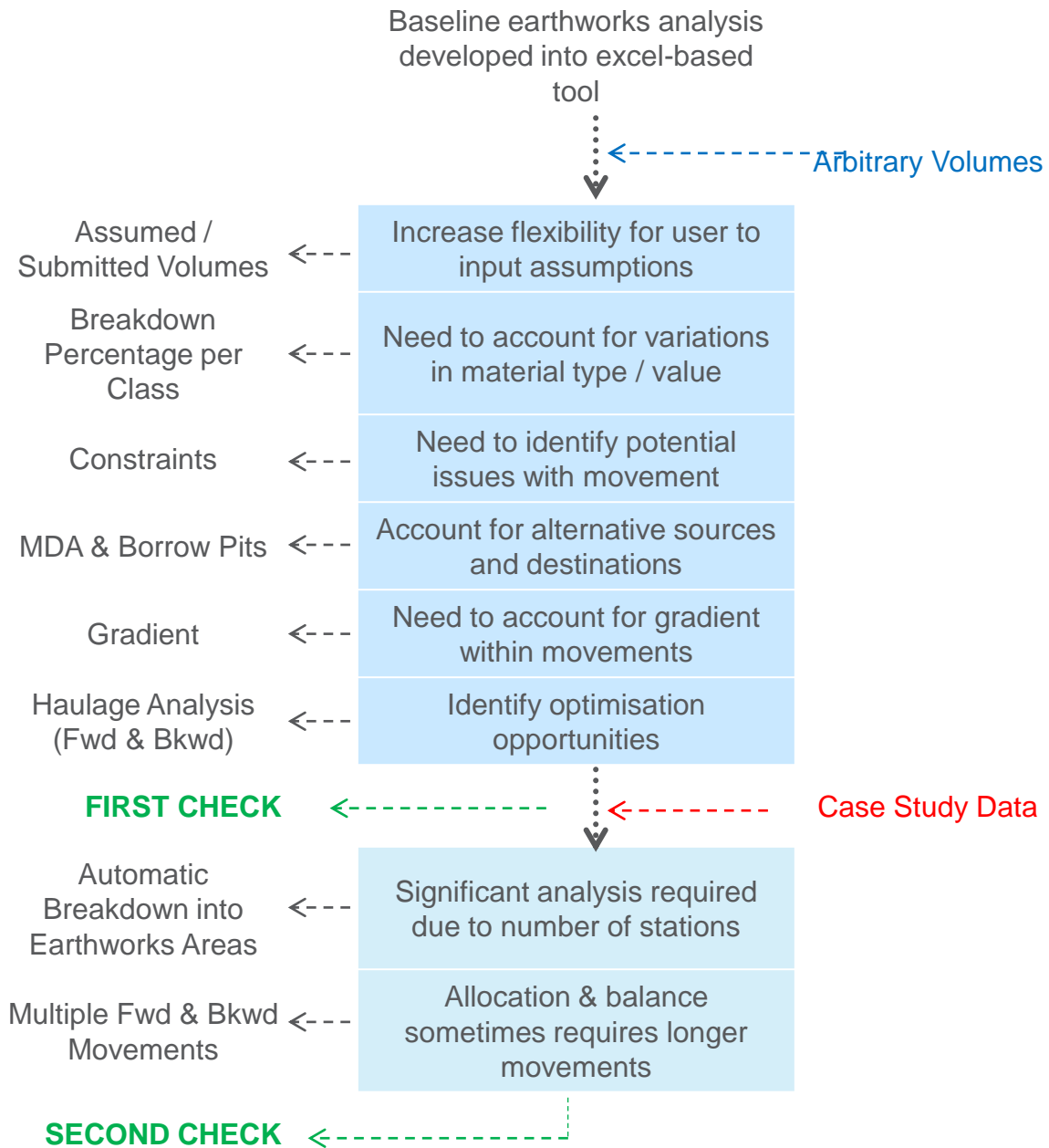
		Topsoil	General Fill	Selected Fill	Capping	Subbase	Total
Haulage Deficit (m³)		26,700	72,563	236,044	155,085	118,607	
Haulage Surplus (m³)		15,631	317,329	0	31,707	5,385	
Total							
		Topsoil	General Fill	Selected Fill	Capping	Subbase	
Haulage Volume (m³)	Overall	31,584	242,493	51,829	119,869	50,843	
	Freehaul	25,819	184,966	49,666	102,750	48,499	82% 76% 96% 86% 95%
	Overhaul	5,766	57,526	2,163	17,119	2,344	18% 24% 4% 14% 5%

Haulage Distance	Chainage	From	To	Type	Topsoil											
					FORWARD MOVEMENT				BACKWARD MOVEMENT							
					To the 1st Next Fill Section		To the 2nd Next Fill Section		To the 1st Next Fill Section		To the 2nd Next Fill Section					
Gradient	Constraints	Volume	Distance	Gradient	Constraints	Volume	Distance	Gradient	Constraints	Volume	Distance					
		-190	50	CUT	0.27%	YES	164	320	0.55%	YES	1381	1820				
		50	450	FILL												
		450	700	CUT	0.63%	NO	23	1175								
		700	2800	FILL												
		2800	3200	CUT	-0.72%	NO	462	525			-0.74%	NO	462	1250		
		3200	3850	FILL												
		3850	4200	CUT	0.04%	YES	541	500			0.86%	NO	541	500		
		4200	4850	FILL												
		4850	5100	CUT	0.23%	NO	172	450			0.07%	YES	172	450		
		5100	5750	FILL												
		5750	6650	CUT	0.48%	YES	5263	975			-0.50%	NO	1498	775	-0.29%	YES
		6650	7700	FILL												
		7700	7800	CUT	1.84%	NO	36	200			-0.65%	YES	36	575		
		7800	8100	FILL												
		8100	8400	CUT	0.18%	NO	1626	450			-1.22%	NO	832	300		
		8400	9000	FILL												
		9000	9200	CUT	0.25%	NO	695	650			0.10%	NO	695	400		

Project Phase	Scope (based on)	Geometry & Volumes	Earthworks Analysis	Project Characteristics	Visualisation	Conclusions & Opportunities
Phase 2	Geological Description (e.g. Overburden, Rock)	<ul style="list-style-type: none"> • Geometry: <ul style="list-style-type: none"> ○ Chainage/Stations ○ Alignment Levels ○ Ground Levels 	<ul style="list-style-type: none"> • Volumes: <ul style="list-style-type: none"> ○ Cut (Bulked) ○ Fill (Uncompacted) 	<ul style="list-style-type: none"> • Constraints • Material Deposition Areas 	<ul style="list-style-type: none"> • Overall Mass Haul Diagram • Mass Haul Diagram per Material Type (as per Phase & Scope) 	<ul style="list-style-type: none"> • Earthworks Balance • Haulage Gradient • Haulage Distance (freehaul vs overhaul) • Haulage
Phase 3	TII Material Classification (e.g. Class 1, Class 2, Class 3)	<ul style="list-style-type: none"> • Volumes: <ul style="list-style-type: none"> ○ Cut 	<ul style="list-style-type: none"> • Reusability Analysis 	<ul style="list-style-type: none"> • Borrow Areas 		

Considerations for Use on Projects

- Tools are intended to support the Phase 2 and Phase 3 design process and identification of opportunities for sustainability in earthworks
- Tools allow for flexibility in terms of the level of geotechnical information available at each Phase
- However, an appropriate level of geotechnical information is required in order to obtain value from Mass Haul Analysis – designers need to consider this at the outset of the project and throughout each Phase
- Essential that Mass Haul Analysis is an integral part of the design process
- Mass Haul needs to be considered holistically along with all relevant aspects – it may not be possible to apply all the optimisations identified
- Tools are not intended to replicate the Mass Haul analysis which is undertaken by contractors at Phase 6



Current Tools



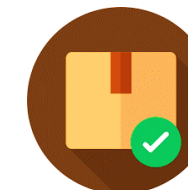
2no. Beta Excel-Based Earthwork Analysis Tools with Draft User Manual

Next Steps



Pilot Trial (N17 Knock to Collooney) with in-house trial of Phase 2 tool and independent project team trial of Phase 3 tool

Final Steps



Release of 2no. Excel-Based Earthwork Analysis Tools with User Manual